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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 02-06-02
5749

In Re Application Of: **Brian C. ROUNDTREE**

Serial No.	Filing Date	Examiner	Group Art Unit
09/783,610	07/15/01	Unknown	Unknown

Title: **ASSEMBLING PERSONAL INFORMATION OF A TARGET PERSON BASED UPON THIRD-PARTY INFORMATION AND A REQUEST PURPOSE**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**ASSEMBLING PERSONAL INFORMATION OF A TARGET PERSON BASED UPON
INFORMATION AND A REQUEST PURPOSE**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 14-0425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Lance Vietzke
Signature

Lance Vietzke, Reg. No.: 36,708

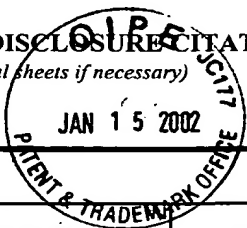
Dated: 1/14/02

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Applicant(s)

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Filing Date

February 15, 2001

Group Art Unit

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,167,253	12/26/00	Farris et al.	455	412	
		5,895,471	04/20/99	King et al.	707	104	
		5,297,144	03/22/94	Gilbert et al.	370	95.2	
		6,173,316 B1	01/09/01	De Boor et al.	709	218	
		5,652,867	07/29/97	Barlow et al.	395	500	
		5,970,449	10/19/99	Alleva et al.	704	235	
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		5,355,472	10/11/94	Lewis	395	600	
		5,774,874	06/30/98	Veeneman et al.	705	27	
		5,946,687	08/31/99	Gehani et al.	707	10	
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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

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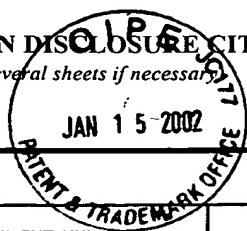
		"Restaurantrow.com Offers Free Database", December 14, 1999, (2) pages
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		5,838,315	11/17/98	Craycroft et al.	345	333	
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		5,963,949	10/05/99	Gupta et al.	707	100	
		6,064,980	05/16/00	Jacobi et al.	705	26	
		5,845,219	12/01/98	Henriksson	455	567	
		5,696,497	12/09/97	Mottier et al.	340	825.44	
		5,966,655	10/12/99	Hardouin	455	418	
		5,737,491	04/07/98	Allen et al.	395	2.79	
		5,903,870	05/11/99	Kaufman	704	275	
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	"Restaurantrow.com Launches "Top Table" and Opens the Doors to New York City's Most Sought After Restaurants", October 28, 1999, (2) pages
	"Restaurant Row to Support CBS.com Onling Restaurant Guide, Allowing Visitors to Sample More Than 100,000 Menus", "Today's news"

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		6,029,135	02/22/00	Krasle	704	275	
		5,953,393	09/14/99	Culbreth et al.	379	88.25	
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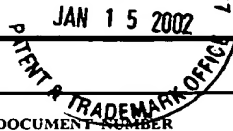
		Matthew W. Ragas, "Restaurant Row Sees Green on Their Plates", April 29, 1998, (3) pages
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		5,983,200	11/09/99	Slotznick	705	26	
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		"Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications", June 26 1997, pp. 1-446

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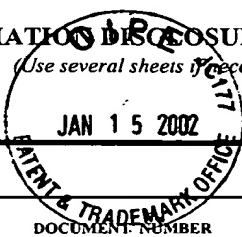
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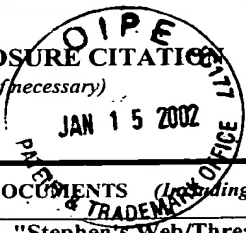
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Filing Date July 15, 2001	Group Art Unit Unknown

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	"Mindmaker - Simply Intelligent", Category: Personal Productivity Software, Copyright 1999 by Mindmaker, Inc. (1) page
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	Tim Musgrove, "Intelligent Personal Assistants with Advanced Speech and Gesture Recognition - Helping Users Control Applications, Navigate the Web, and Get Information", (4) pages, February 1, 1999
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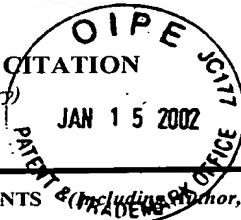
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"http://www.myaladdin.com/www/companyinfo/whoweare.jsp", Who We Are - myAladdin, (1) page

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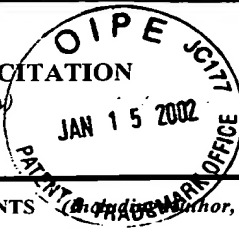
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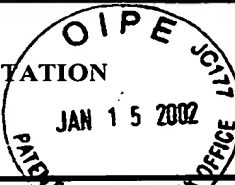
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	"Choosing Between CSS and XSL", Microsoft XML 2.5 SDK - XSL Developer's Guide, 1999 Microsoft Corporation. All rights reserved. Terms of use.
	"3Com AirConnect 11 Mbps Wireless LAN Solution Simple Connectivity When and Where You Need It, (6) pages
	Daniel L. Lough et al., "A Short Tutorial on Wireless LANs and IEEE 802.11" (5) pages
	3Com AirConnect, "3Com AirConnect Access Points, PC Cards and PCI Cards, (2) pages
	"Technical Summary of "IrDA DATA" and "IrDA CONTROL"", (4) pages
	"Infrared Data Association Serial Infrared Physical Layer Measurement Guidelines", Version 1.0, January 16, 1998, (35 pages)
	Timothy Masters, "Practical Neural Network Recipes in C++", IBM Disk included with source code for all programs, (Chaper 16, 17 and 18 with (3) coversheets)
	http://www.lhsl.com/voicexpress/ , "L&H Voice Xpress - Introduction - L&H", (2) pages
	http://www.nuance.com/index.htm?SCREEN=nuance7 , Nuance - Products - Nuance7, "Nuance 7.0", (2) pages
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	"Bluetooth Protocol Architecture Version 1.0", (20) pages

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"Test System Validation Guideline", Document No. 1.Q.198/0.8 June 9th 2000, Bluetooth, (19) pages

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